

# The Journal of ExtraCorporeal Technology

## Comparative Decision-Making Analysis of Extracorporeal Membrane Oxygenation Candidacy Among Pediatric Critical Care Fellow and Attending Physicians

--Manuscript Draft--

<b>Manuscript Number:</b>	
<b>Full Title:</b>	Comparative Decision-Making Analysis of Extracorporeal Membrane Oxygenation Candidacy Among Pediatric Critical Care Fellow and Attending Physicians
<b>Article Type:</b>	Original Article
<b>Keywords:</b>	Extracorporeal Membrane Oxygenation; Critical Care; Decision Making; Pediatric Intensive Care Units; Education, Medical, Graduate
<b>Corresponding Author:</b>	Adam S Himebauch, MD, MSCE The Children's Hospital of Philadelphia UNITED STATES
<b>Corresponding Author Secondary Information:</b>	
<b>Corresponding Author's Institution:</b>	The Children's Hospital of Philadelphia
<b>Corresponding Author's Secondary Institution:</b>	
<b>First Author:</b>	Ish Sethi, BA
<b>First Author Secondary Information:</b>	
<b>Order of Authors:</b>	Ish Sethi, BA Brenna C McCabe, MD Ryan W Morgan, MD, MTR Samuel Rosenblatt, MD, MScEd Jessica C Fowler, MD, MPH Wynne E Morrison, MD, MBE Adam S Himebauch, MD, MSCE
<b>Order of Authors Secondary Information:</b>	
<b>Manuscript Region of Origin:</b>	UNITED STATES
<b>Abstract:</b>	<p>Background: Extracorporeal membrane oxygenation (ECMO) candidacy decisions for children with respiratory failure can be variable among pediatric critical care attending physicians and prior studies showed that baseline functional status and underlying neurological conditions influence this decision. However, there are limited data regarding factors influencing pediatric critical care fellows' ECMO candidacy decisions and their alignment with attending physicians. This study aimed to identify patient characteristics influencing fellows' ECMO candidacy decisions and measure concordance with attending decisions.</p> <p>Methods: This was a planned secondary analysis of a prospective, single-center, cross-sectional study at a quaternary pediatric ECMO referral center. Pediatric critical care fellows and attending physicians caring for children admitted with acute respiratory failure were surveyed within 72 hours of initiation or escalation of respiratory support. The primary exposure was patient functional status at admission, measured by the functional status score (FSS), and was categorized as Normal/Mild Dysfunction (FSS 6-9) or Moderate/Severe Dysfunction (FSS &gt;10). Multivariate logistic regression clustered by fellow evaluated factors influencing ECMO candidacy assessments. Cohen's kappa measured concordance between fellow and attending decisions.</p> <p>Results: Eighty surveys were completed by 21 pediatric critical care fellows. Fellows identified 19% of patients as ECMO non-candidates. After adjustment for age, moderate/severe admission dysfunction significantly reduced the odds of ECMO</p>

	<p>candidacy (aOR 0.11, 95% CI 0.03-0.51, p=0.005). Overall, concordance between fellows and attendings was moderate (<math>\kappa=0.56</math>) with junior fellows having minimal agreement (<math>\kappa=-0.12</math>). Fellows focused primarily on baseline functional status and comorbidities while attendings considered additional factors, including long-term prognosis, organ failure irreversibility, and ECMO-related risks in candidacy assessments.</p> <p>Conclusion: Admission functional status influences pediatric critical care fellows' ECMO candidacy decisions, with moderate concordance observed between fellows and attending physicians. The identified discrepancies emphasize the importance of structured education and targeted mentorship programs to enhance consistency in ECMO candidacy assessments, especially among junior trainees.</p>
<b>Suggested Reviewers:</b>	
<b>Opposed Reviewers:</b>	

**TITLE:** Comparative Decision-Making Analysis of Extracorporeal Membrane Oxygenation  
Candidacy Among Pediatric Critical Care Fellow and Attending Physicians

**AUTHORS:** Ish Sethi BA<sup>1</sup>; Brenna C. McCabe MD<sup>2</sup>; Ryan W. Morgan MD, MTR<sup>3</sup>; Samuel  
Rosenblatt MD MEd<sup>3</sup>; Jessica C. Fowler MD, MPH<sup>3</sup>; Wynne E. Morrison MD, MBE<sup>3,4</sup>; Adam  
S. Himebauch MD, MSCE<sup>3,5</sup>

**AFFILIATIONS**

<sup>1</sup> Perelman School of Medicine at the University of Pennsylvania, Philadelphia, PA

<sup>2</sup> Division of Pediatric Critical Care and Hospital Medicine, Department of Pediatrics, Columbia  
University Irving Medical Center and Morgan Stanley Children's Hospital, New York, NY.

<sup>3</sup> Division of Critical Care Medicine, Department of Anesthesiology and Critical Care Medicine,  
Perelman School of Medicine at the University of Pennsylvania, The Children's Hospital of  
Philadelphia, Philadelphia, PA

<sup>4</sup> Department of Pediatrics, The Justin Michael Ingerman Center for Pediatric Palliative Care,  
The Children's Hospital of Philadelphia, Philadelphia, PA.

<sup>5</sup> ECMO Center, The Children's Hospital of Philadelphia, Philadelphia, PA

**CORRESPONDING AUTHOR:**

Adam Himebauch, MD, MSCE

Associate Professor of Anesthesiology, Critical Care and Pediatrics

Perelman School of Medicine at the University of Pennsylvania

Division of Critical Care Medicine

Children's Hospital of Philadelphia

3401 Civic Center Blvd, Main Building 9NW42

Philadelphia, PA 19104, USA

+1- 267-426-7655 (work phone)

himebaucha@chop.edu

No reprints will be ordered.

**KEYWORDS:** Extracorporeal Membrane Oxygenation; Critical Care; Decision Making;  
Pediatric Intensive Care Units; Education, Medical, Graduate

This work was presented at the Society of Critical Care Medicine's 2025 Critical Care Congress,  
February 23-25, 2025, in Orlando, Florida.

**Abstract Word Count:** 298

**Main Manuscript Word Count:** 2,306

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

**TITLE:** Comparative Decision-Making Analysis of Extracorporeal Membrane Oxygenation  
Candidacy Among Pediatric Critical Care Fellow and Attending Physicians

**KEYWORDS:** Extracorporeal Membrane Oxygenation; Critical Care; Decision Making;  
Pediatric Intensive Care Units; Education, Medical, Graduate

This work was presented at the Society of Critical Care Medicine’s 2025 Critical Care Congress,  
February 23-25, 2025, in Orlando, Florida.

**Abstract Word Count:** 298

**Main Manuscript Word Count:** 2,306

1  
2  
3  
4 **ABSTRACT**  
5

6 **Background:** Extracorporeal membrane oxygenation (ECMO) candidacy decisions for children  
7  
8 with respiratory failure can be variable among pediatric critical care attending physicians and  
9  
10 prior studies showed that baseline functional status and underlying neurological conditions  
11  
12 influence this decision. However, there are limited data regarding factors influencing pediatric  
13  
14 critical care fellows' ECMO candidacy decisions and their alignment with attending physicians.  
15  
16 This study aimed to identify patient characteristics influencing fellows' ECMO candidacy  
17  
18 decisions and measure concordance with attending decisions.  
19  
20  
21  
22

23 **Methods:** This was a planned secondary analysis of a prospective, single-center, cross-sectional  
24  
25 study at a quaternary pediatric ECMO referral center. Pediatric critical care fellows and attending  
26  
27 physicians caring for children admitted with acute respiratory failure were surveyed within 72  
28  
29 hours of initiation or escalation of respiratory support. The primary exposure was patient  
30  
31 functional status at admission, measured by the functional status score (FSS), and was  
32  
33 categorized as Normal/Mild Dysfunction (FSS 6-9) or Moderate/Severe Dysfunction (FSS >10).  
34  
35 Multivariate logistic regression clustered by fellow evaluated factors influencing ECMO  
36  
37 candidacy assessments. Cohen's kappa measured concordance between fellow and attending  
38  
39 decisions.  
40  
41  
42  
43  
44

45 **Results:** Eighty surveys were completed by 21 pediatric critical care fellows. Fellows identified  
46  
47 19% of patients as ECMO non-candidates. After adjustment for age, moderate/severe admission  
48  
49 dysfunction significantly reduced the odds of ECMO candidacy (aOR 0.11, 95% CI 0.03-0.51,  
50  
51  $p=0.005$ ). Overall, concordance between fellows and attendings was moderate ( $\kappa=0.56$ ) with  
52  
53 junior fellows having minimal agreement ( $\kappa=-0.12$ ). Fellows focused primarily on baseline  
54  
55 functional status and comorbidities while attendings considered additional factors, including  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4 long-term prognosis, organ failure irreversibility, and ECMO-related risks in candidacy  
5  
6 assessments.  
7

8  
9 **Conclusion:** Admission functional status influences pediatric critical care fellows' ECMO  
10  
11 candidacy decisions, with moderate concordance observed between fellows and attending  
12  
13 physicians. The identified discrepancies emphasize the importance of structured education and  
14  
15 targeted mentorship programs to enhance consistency in ECMO candidacy assessments,  
16  
17  
18 especially among junior trainees.  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4 **INTRODUCTION**  
5  
6  
7

8 Extracorporeal membrane oxygenation (ECMO) is a therapeutic option for many patients  
9  
10 experiencing severe or refractory acute respiratory failure (1,2). Despite its life-saving potential,  
11  
12 ECMO is not universally applicable to all critically ill pediatric patients and is associated with  
13  
14 significant risks and expenditure of resources (3,4). The decision to initiate ECMO involves  
15  
16 numerous complex factors, highlighting the need for careful, individualized consideration (5).  
17  
18 While guidelines from the Extracorporeal Life Support Organization (ELSO) provide general  
19  
20 indications and contraindications for ECMO, these guidelines are not prescriptive or  
21  
22 comprehensive. The lack of standardized criteria and different resources leads to variability in  
23  
24 ECMO candidacy decisions across institutions and among healthcare providers (6), which may  
25  
26 lead to inequities in the application of this therapy (7).  
27  
28  
29  
30  
31

32 Previous research has highlighted that baseline quality of life and preexisting  
33  
34 neurological conditions significantly influence decisions about ECMO candidacy for pediatric  
35  
36 critical care attending physicians (8–11). Further, ECMO candidacy decisions remain highly  
37  
38 variable, with substantial provider-dependent differences in selection criteria. A recent study of  
39  
40 adult ECMO decision-making found that even within a single institution, ECMO denial criteria  
41  
42 were inconsistently applied, and 90% of candidates had at least one characteristic that was  
43  
44 considered a prohibitive contraindication for another patient (12).  
45  
46  
47  
48

49 While prior research has explored decision-making variability at the institutional level  
50  
51 and by attending physicians, it is unknown what pediatric critical care physician trainees view as  
52  
53 important factors in considering of ECMO candidacy and how this perception compares to  
54  
55 attending physicians. Understanding the potential differences in ECMO candidacy decision-  
56  
57 making across physician experience levels, and between multidisciplinary team members, is a  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4 crucial step in creating consistent decision-making guidelines to optimize patient- and family-  
5  
6 centered outcomes.  
7

8  
9 The primary objective of this study was to determine the association between patient  
10 characteristics and pediatric critical care fellow physician perception of ECMO candidacy for  
11 patients with acute respiratory failure. The secondary objective was to examine concordance in  
12 the decision-making between fellows with that of pediatric critical care attending physicians. We  
13  
14 hypothesized that worse patient admission functional status scale (FSS) would be negatively  
15 associated with pediatric critical care fellow physician assessment of ECMO candidacy, and that  
16 fellows and attendings would have high concordance when assessing candidacy.  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26

## 27 **MATERIALS AND METHODS**

### 28 Study Design

29  
30 This study was a planned, secondary analysis of a prospective, single-center, cross-  
31 sectional study conducted at the Children’s Hospital of Philadelphia (CHOP) Pediatric Intensive  
32 Care Unit (PICU). A waiver of informed consent was approved by the CHOP Institutional  
33  
34 Review Board (IRB 20-018234).  
35  
36  
37  
38  
39  
40  
41

### 42 Subject Eligibility

43  
44 The primary study protocol has been previously published (11). For this study, pediatric  
45 critical care medicine fellow physicians were eligible to participate if directly involved in the  
46 care of a qualifying patient at the time of initiation or escalation of ventilatory support. Patients  
47 were included in this study if they were less than 18 years of age on admission to the CHOP  
48 PICU, had acute respiratory failure requiring invasive mechanical ventilation, and were within  
49 72 hours of endotracheal intubation or, if tracheostomy present, within 72 hours from escalation  
50 from baseline respiratory support.  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4 Board-certified or board-eligible pediatric critical care attending physicians (11) and  
5  
6 pediatric critical care medicine fellow physicians were eligible to participate if directly involved  
7  
8 in the care of a qualifying patient. Participants were unaware of the primary hypothesis of this  
9  
10 study. An online Research Electronic Data Capture (REDCap)-based survey was sent to eligible  
11  
12 physicians via email. The survey design, structure, and content are detailed in the primary study  
13  
14 publication (11). The fellow physician involved with the study design and implementation  
15  
16 (B.C.M.) did not participate in the surveys.  
17  
18  
19  
20

### 21 Data Collection

22  
23 Functional status at PICU admission was measured by FSS and was not available to the  
24  
25 pediatric critical care fellow or attending physicians at the time of survey participation (9–11).  
26  
27 Trained nurses who were independent of patient care abstracted data for FSS domains. For this  
28  
29 analysis, FSS scores were divided into 2 categories: 1) Normal/Mild Dysfunction (Admission  
30  
31 FSS 6-9); 2) Moderate/Severe Dysfunction (Admission FSS > 10). Additional patient data, such  
32  
33 as PIM3 scores and comorbidities, were abstracted from the electronic health record and Virtual  
34  
35 PICU Systems (VPS) standardized data elements.  
36  
37  
38  
39  
40

### 41 Statistical Analyses

42  
43 Nonparametric summary and comparative statistics were used to analyze both patient and  
44  
45 fellow physician information. Univariable and multivariate logistic regression analyses, clustered  
46  
47 by individual pediatric critical care fellow, were performed for the primary outcome of ECMO  
48  
49 candidacy. Given the number of patients not considered ECMO candidates, we used only the  
50  
51 variables of patient age and admission FSS category in the multivariable logistic regression  
52  
53 analyses to avoid overfitting the model. Age was specifically chosen as it may influence a  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4 clinician’s decision on ECMO candidacy when considering a patient’s future quality of life and  
5  
6 recovery potential from acute critical illness.  
7

8  
9 We used Cohen’s Kappa to measure the concordance between the ECMO candidacy  
10  
11 assessments for patients assessed by both fellow and attending physicians. All analyses were  
12  
13 performed using R Statistical Software (v4.4.2; R Core Team 2025).  
14  
15  
16  
17

## 18 19 **RESULTS**

### 20 21 Characteristics of Physicians and Patients

22  
23 Of 176 eligible patient encounters, 80 surveys were completed by pediatric critical care  
24  
25 fellow physicians (response rate 45%). All patients had corresponding surveys completed by  
26  
27 attending physicians. There were 21 individual fellow responders and 31 individual attending  
28  
29 responders, with an average of 3.8 responses per fellow and 2.6 responses per attending; 18  
30  
31 fellows and 19 attendings participated in the survey more than once. More than 50% of  
32  
33 attendings had > 5 years of experience, while the median experience level in pediatric critical  
34  
35 care for fellows was two years (Supplemental Table 1). First-year fellows responded most  
36  
37 frequently to the survey (Figure 1).  
38  
39  
40  
41

42  
43 The median patient age was 3.5 years (IQR 1.1–9.0 years) and 60% of patients were male  
44  
45 (n=48). The median admission FSS was 7 (IQR 6-12). The most common FSS category was  
46  
47 normal/mild dysfunction (75%) with the moderate/severe dysfunction category comprising 25%  
48  
49 (Table 1). Sixty-eight patients (85%) had at least one comorbidity, and the most common  
50  
51 comorbidities were chronic respiratory failure (31%), developmental delay or disorder (31%),  
52  
53 and epilepsy or history of seizures (23%) (Table 1).  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

## Patient Characteristics Associated with ECMO Candidacy

Of the 80 patients who had fellow survey responses, 65 (81%) were perceived to be ECMO candidates and 15 (19%) to not be ECMO candidates. These patients differed from each other in age and admission FSS category (Supplemental Table 2).

In univariate logistic regression analysis, older patient age and higher admission PIM3 scores were associated with lower odds of perceived ECMO candidacy (OR 0.89 and 0.61, [p=0.003] and [p=0.02], respectively). An admission FSS category of moderate/severe dysfunction (OR 0.09, [p=0.001]), and comorbidities including endocrinopathy (OR 0.08, [p=0.0001]), kidney disease (OR 0.24, [p=0.03]), and chronic respiratory failure (OR 0.31, [p=0.003]) were also associated with lower odds of perceived ECMO candidacy (Supplemental Table 3). After adjusting for age, multivariate logistic regression demonstrated that patients with admission FSS category of moderate/severe dysfunction had lower odds of being considered an ECMO candidate compared to patients who had an admission FSS category of normal/mild dysfunction (aOR 0.11, 95% CI 0.03-0.51 [p=0.005], Figure 2).

## Concordance between fellows and attendings

Fellows identified 15 patients (19%) as non-candidates for ECMO, while attendings identified 16 patients (20%) as non-candidates. Overall, there was only moderate level of agreement between the fellows and attendings ( $\kappa = 0.56$ , Figure 3). Specifically, 5/15 (33%) patients considered non-candidates by fellows were considered candidates by attendings and 6/16 (38%) patients considered non-candidates by attendings were considered candidates by fellows. When comparing concordance between attendings and fellows by years of experience, senior (2<sup>nd</sup>-year and 3<sup>rd</sup>-year) fellows had high agreement ( $\kappa = 0.72$ ), while junior (1st-year) fellows showed little to no agreement ( $\kappa = -0.12$ ). Junior fellows reported an average confidence

1  
2  
3  
4 score of 2.80/4, senior fellows reported an average confidence score of 3.10/4.00, with fellows  
5  
6 overall reporting an average confidence score of 3.01/4.00 vs 3.29/4.00 amongst attendings [p =  
7  
8  
9 0.1].

10  
11 Compared to cases in which both fellows and attendings agreed on ECMO candidacy, for  
12  
13 cases in which there was disagreement, the patients had worse admission functional status  
14  
15 (median admission FSS 14 [IQR 10-21] versus median admission FSS 6 [IQR 6-11], p=0.024).  
16  
17  
18 There were no differences in patient age or severity of illness as measured by PIM 3 scores  
19  
20  
21 between agreement and disagreement cases.  
22

### 23 ECMO Candidacy Factors Considered by Fellow and Attending Physicians

24  
25  
26 Fellows most frequently cited poor prognosis of a chronic illness or genetic condition  
27  
28 (36.4%), severity of pre-existing comorbidities (36.4%), and abnormal baseline functional status  
29  
30 (36.4%) as reasons for not considering a patient an ECMO candidate (Figure 4). Similarly,  
31  
32 attendings identified poor prognosis of a chronic illness or genetic condition (45.5%) and  
33  
34 severity of pre-existing comorbidities (36.4%) as key factors in their decisions. However,  
35  
36 attendings also incorporated additional nuanced factors, potentially more subjective, such as  
37  
38 active malignancy (9.1%), irreversibility of organ failure (9.1%), and higher-than-average risk of  
39  
40  
41 ECMO-related complications (9.1%).  
42  
43  
44  
45

## 46 **DISCUSSION**

47  
48  
49 Patient admission FSS category of moderate or severe dysfunction was associated with  
50  
51 decreased odds of being perceived as an ECMO candidate by pediatric critical care fellows in  
52  
53 this secondary analysis of a prospective, cross-sectional study. There was only moderate  
54  
55 concordance between fellows and attendings, with senior fellows having the highest concordance  
56  
57 and junior fellows having the lowest concordance. Approximately one-third of patients were  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4 differentially considered candidates or non-candidates between attendings and fellows. Patients  
5  
6 who were younger and those with less severe functional impairment were more likely to be  
7  
8 considered ECMO candidates for both fellows and attendings but self-reported factors that  
9  
10 influenced ECMO candidacy decisions differed between the physician groups.  
11  
12  
13

14         Prior research has consistently emphasized that baseline functional status and pre-existent  
15  
16 neurological conditions are pivotal factors in ECMO candidacy decisions and trained providers  
17  
18 often weigh their perceptions of a patient's pre-illness quality of life heavily when deciding  
19  
20 whether to recommend ECMO, especially in pediatric populations (8–11). Our study is the first  
21  
22 extend this understanding to pediatric critical care trainees and suggests that, while there is an  
23  
24 expected progression of learning amongst trainees to generally align assessments of ECMO  
25  
26 candidacy with experienced attendings, discrepancies may arise in more complex cases involving  
27  
28 older children or for patients with significant comorbidities. Attendings prioritized poor  
29  
30 prognosis, irreversible organ failure, high complication risks, and alignment with goals of care  
31  
32 more than fellows when assessing ECMO candidacy.  
33  
34  
35  
36  
37

38         Prior studies have shown substantial differences in ECMO candidacy decision between  
39  
40 experienced ECMO program directors at different institutions and adult clinicians at the same  
41  
42 institution (12). Similarly, our study demonstrated variability in ECMO candidacy decisions  
43  
44 between fellows and attendings in a single center study without variability in institutional culture  
45  
46 or resource availability. The variability in candidacy decisions between fellows and attendings  
47  
48 highlights the opportunity for educational initiatives that ensure consistency of ECMO candidacy  
49  
50 assessments both within and across institutions. Training programs should focus on equipping  
51  
52 fellows to make informed decisions, ideally in a patient- and family-centered manner with  
53  
54 inclusion of patients (as able), families, and multidisciplinary medical and surgical teams within  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4 the context of their institutional resources and environment. Future research should focus on  
5  
6 developing targeted educational interventions, such as simulation-based training and mentorship,  
7  
8 to enhance fellows' decision-making and communications skills to improve consistency in  
9  
10 ECMO candidacy assessments. This education may be particularly applicable to training  
11  
12 programs that do not have a high ECMO patient volume. Additionally, exploring the influence of  
13  
14 institutional factors on decision-making could help create adaptable and more standardized  
15  
16  
17  
18  
19 guidelines.

20  
21 We observed only moderate concordance between fellow and attending assessments of  
22  
23 ECMO candidacy in this study with junior fellows having minimal concordance. Although this is  
24  
25 a widely acknowledged reality in training programs and intuitively makes sense, our study  
26  
27 quantifies differences between junior and senior trainees. During the timeframe of this study,  
28  
29 most of the first-year fellow responders were in their first 6 months of fellowship. As expected,  
30  
31 concordance did increase between attendings and senior fellows. Furthermore, fellows prioritized  
32  
33 baseline functional status and pre-existing comorbidities, while attendings incorporated  
34  
35 additional considerations such as long-term prognosis and ECMO-specific risks. This mirrors the  
36  
37 finding from Rubin et al. that providers interpret ECMO contraindications differently, even when  
38  
39 faced with similar cases (12). Longitudinal studies following trainees throughout their fellowship  
40  
41 and early post-fellowship practice could provide valuable insights into how decision-making  
42  
43 evolves with the transition to unsupervised practice.  
44  
45  
46  
47  
48  
49  
50  
51  
52

## 53 **LIMITATIONS**

54  
55 Our study has several limitations. Our relatively small sample size and single-center  
56  
57 design may limit the generalizability of our findings to other institutions and may not reflect  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4 geographic or institutional variability. Further, no critical care advanced practice providers, non-  
5  
6 PICU pediatric subspecialists, or parents were included in this survey. There is a potential for  
7  
8 sampling bias as there were variable amounts of repeated participation for both fellow and  
9  
10 attending physicians, which we attempted to mitigate by clustering the logistic regression by  
11  
12 fellow. The response rate for the survey from fellows was 45% and it is possible that response  
13  
14 bias could result if fellows were less likely to respond to a survey if they considered their patient  
15  
16 a non-candidate or more likely to respond if they had particularly strong inclinations regarding  
17  
18 candidacy. We did not measure or survey parental or family considerations of quality-of-life  
19  
20 assessments which may or may not correlate with FSS scores. Family perspectives on quality-of-  
21  
22 life are important considerations in the discussion of ECMO candidacy for any pediatric patient.  
23  
24 Finally, while our study did ask opinions about actual patients, the consideration of ECMO was  
25  
26 hypothetical and may have biased some fellow and attending responses.  
27  
28  
29  
30  
31  
32  
33  
34  
35

## 36 **CONCLUSION**

37  
38 In conclusion, patient functional status on admission and age are significant factors that  
39  
40 pediatric critical care fellows consider when assessing ECMO candidacy for pediatric patients  
41  
42 with severe acute respiratory failure. Although agreement with pediatric critical care attendings  
43  
44 did increase throughout training, overall, there was only moderate concordance between fellows  
45  
46 and attendings and there were differences in the factors that attendings prioritize compared to  
47  
48 fellows when making ECMO candidacy decisions. These findings highlight the need to better  
49  
50 understand how decision-making evolves with experience and to better standardize and optimize  
51  
52 decision-making processes of the multidisciplinary critical care team and families.  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4 **Acknowledgements**  
5

6  
7 None  
8  
9

10  
11 **Authors' Contributions**  
12

13  
14 IS, BM, RWM, WEM, and ASH were responsible for study design and conception. BM was  
15 responsible for data acquisition. IS and ASH were responsible for data analysis. BM was  
16 involved with data acquisition. IS, BM, RWM, WEM, SR, JF, and ASH were involved with data  
17 interpretation. IS and ASH drafted the manuscript. BM, RWM, WEM, SR, and JF provided  
18 critical revision of the manuscript for important intellectual content. All authors approved the  
19 final version of the manuscript.  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30

31 **Availability of Data and Materials**  
32

33 The data set used for this manuscript are available upon reasonable request to the corresponding  
34 author (ASH).  
35  
36  
37  
38  
39

40 **Consent for Publication**  
41

42  
43 Not applicable  
44  
45  
46  
47

48 **Declaration of Conflicting Interests**  
49

50 The authors declared no potential conflicts of interest with respect to the research, authorship,  
51 and/or publication of this article.  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

### **Ethics Approval and Consent to Participate**

A waiver of informed consent was approved by the CHOP Institutional Review Board (IRB 20-018234 “Determining ECMO Candidacy for Pediatric Patients with Acute Respiratory Failure,” approved March 8, 2021). Study procedures were followed in accordance with the ethical standards of the CHOP IRB and with the Helsinki Declaration of 1975.

### **Funding**

Dr. Morgan’s institution received funding from the National Heart, Lung, and Blood Institute (NHLBI) (K23HL148531). Dr. Himebauch’s institution received funding from the NHLBI (5K23-HL153759). The remaining authors have disclosed that they do not have any potential conflicts of interest.

### **Supplemental Materials**

Supplemental materials for this manuscript are available online.

1  
2  
3  
4 **LEGENDS**  
5  
6  
7  
8

9 **Figure 1:** Frequency of fellow survey responses by year of pediatric critical care medicine  
10 (PCCM) fellow experience.  
11  
12  
13  
14

15  
16 **Table 1:** Patient characteristics of all pediatric critical care fellow physician responses (N = 80)  
17  
18

19 Table 1 Notes:  
20

21 Abbreviations: BPD: bronchopulmonary dysplasia; ECMO: extracorporeal membrane  
22 oxygenation; FSS: functional status score; IQR: interquartile range; PICU: pediatric intensive  
23 care unit; PIM3: Pediatric Index of Mortality; PRISM: Pediatric Risk of Mortality  
24  
25  
26  
27

28 <sup>a</sup> Binary gender was collected  
29  
30  
31  
32

33 **Figure 2:** Multivariate regression for factors associated with ECMO candidacy for fellows  
34 (N=80).  
35  
36  
37  
38  
39

40 **Figure 3:** Concordance between pediatric critical care fellow and attending physician assessment  
41 of ECMO candidacy.  
42  
43  
44  
45  
46  
47

48 **Figure 4:** Frequency comparison between fellow-attending dyads of self-identified reasons for  
49 patient non-candidacy for ECMO (N=80).  
50  
51  
52  
53  
54

55 **Supplemental Table 1:** Characteristics of pediatric critical care fellow and attending physician  
56 study participants.  
57  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

**Supplemental Table 2:** Patient demographics and characteristics comparison of non-ECMO and ECMO candidates as assessed by pediatric critical care fellow physicians.

Supplemental Table 2 Notes:

Abbreviations: BMT: bone marrow transplant; ECMO: extracorporeal membrane oxygenation; FSS: functional status score; HIE: hypoxic-ischemic encephalopathy; IQR: interquartile range; PICU: pediatric intensive care unit; PIM3: Pediatric Index of Mortality; PRISM: Pediatric Risk of Mortality; SCT: stem cell transplant

**Supplemental Table 3:** Univariable logistic regressions for the outcome of ECMO candidacy.

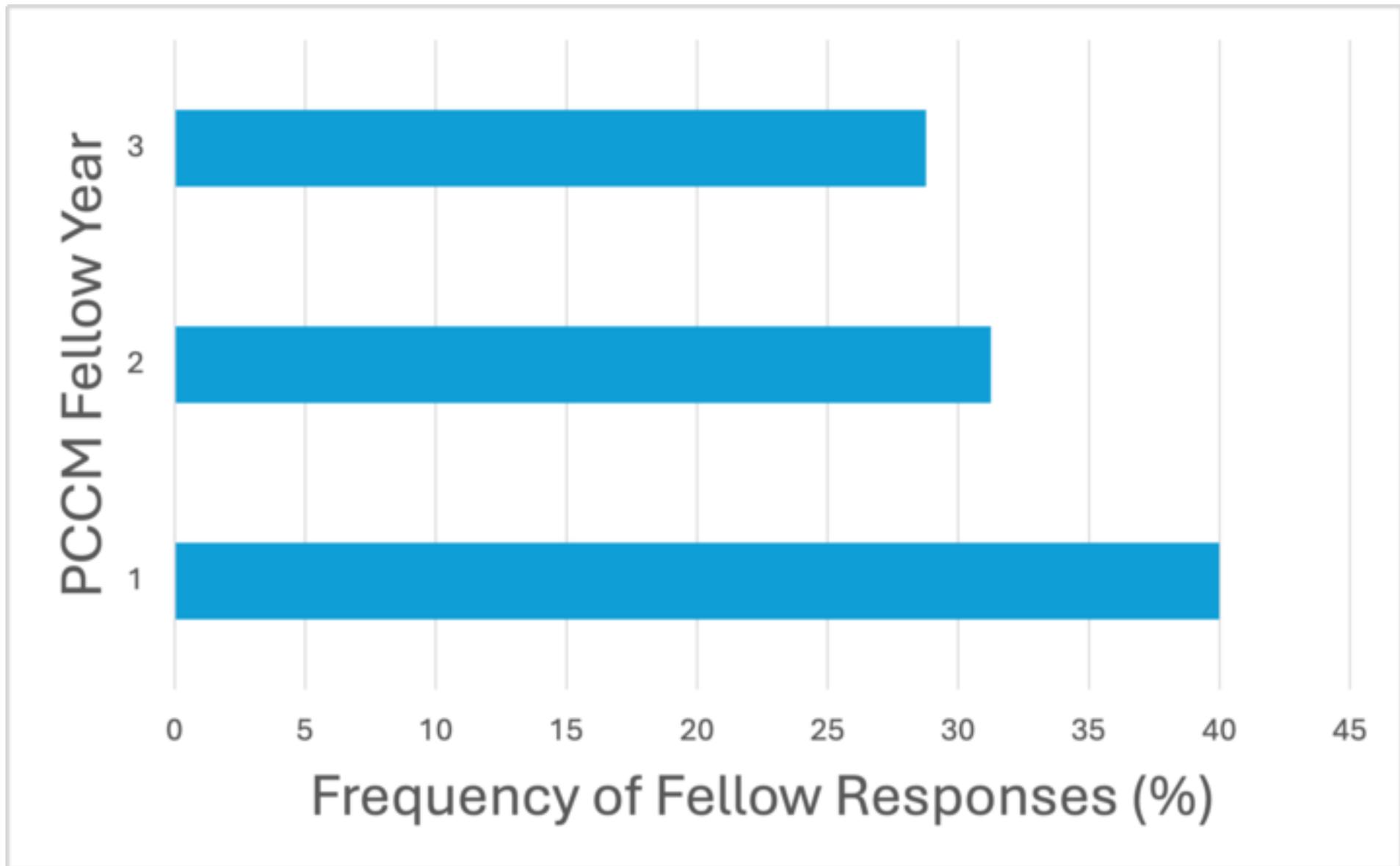
Supplemental Table 3 Notes:

Abbreviations: BPD: bronchopulmonary dysplasia; FSS: functional status score; IVH: intraventricular hemorrhage; PIM3: Pediatric Index of Mortality; Ref: Reference

1  
2  
3  
4 **REFERENCES**  
5

- 6  
7 1. Brunetti MA, Gaynor JW, Retzliff LB, Lehrich JL, Banerjee M, Amula V, et al.  
8  
9 Characteristics, Risk Factors, and Outcomes of Extracorporeal Membrane Oxygenation Use  
10  
11 in Pediatric Cardiac ICUs: A Report From the Pediatric Cardiac Critical Care Consortium  
12  
13 Registry. *Pediatr Crit Care Med*. 2018 Jun;19(6):544.  
14  
15  
16  
17 2. Erdil T, Lemme F, Konetzka A, Cavigelli-Brunner A, Niesse O, Dave H, et al. Extracorporeal  
18  
19 membrane oxygenation support in pediatrics. *Ann Cardiothorac Surg*. 2019 Jan;8(1):109–15.  
20  
21  
22  
23 3. Ramanathan K, Yeo N, Alexander P, Raman L, Barbaro R, Tan CS, et al. Role of  
24  
25 extracorporeal membrane oxygenation in children with sepsis: a systematic review and meta-  
26  
27 analysis. *Crit Care*. 2020 Dec 7;24:684.  
28  
29  
30  
31  
32 4. Harnisch LO, Moerer O. Contraindications to the Initiation of Venovenous ECMO for  
33  
34 Severe Acute Respiratory Failure in Adults: A Systematic Review and Practical Approach  
35  
36 Based on the Current Literature. *Membranes*. 2021 Aug;11(8):584.  
37  
38  
39  
40 5. Moynihan KM, Jansen M, Siegel BD, Taylor LS, Kirsch RE. Extracorporeal Membrane  
41  
42 Oxygenation Candidacy Decisions: An Argument for a Process-Based Longitudinal  
43  
44 Approach. *Pediatr Crit Care Med*. 2022 Sep;23(9):e434.  
45  
46  
47  
48 6. Maratta C, Potera RM, van Leeuwen G, Castillo Moya A, Raman L, Annich GM.  
49  
50 Extracorporeal Life Support Organization (ELSO): 2020 Pediatric Respiratory ELSO  
51  
52 Guideline. *ASAIO J*. 2020 Oct;66(9):975.  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

- 1  
2  
3  
4 7. Moynihan KM, Dorste A, Alizadeh F, Phelps K, Barreto JA, Kolwaite AR, et al. Health  
5  
6 Disparities in Extracorporeal Membrane Oxygenation Utilization and Outcomes: A Scoping  
7  
8 Review and Methodologic Critique of the Literature\*. Crit Care Med. 2023 Jul;51(7):843.  
9
- 10  
11  
12 8. Dante SA, Carroll MK, Ng DK, Patel A, Spinella PC, Steiner ME, et al. Extracorporeal  
13  
14 Membrane Oxygenation Outcomes in Children With Preexisting Neurologic Disorders or  
15  
16 Neurofunctional Disability\*. Pediatr Crit Care Med. 2022 Nov;23(11):881.  
17  
18
- 19  
20  
21 9. Pollack MM, Holubkov R, Glass P, Dean JM, Meert KL, Zimmerman J, et al. Functional  
22  
23 Status Scale: New Pediatric Outcome Measure. Pediatrics. 2009 Jul 1;124(1):e18–28.  
24  
25
- 26  
27 10. Pollack MM, Holubkov R, Funai T, Clark A, Moler F, Shanley T, et al. Relationship Between  
28  
29 the Functional Status Scale and the Pediatric Overall Performance Category and Pediatric  
30  
31 Cerebral Performance Category Scales. JAMA Pediatr. 2014 Jul 1;168(7):671–6.  
32  
33
- 34  
35 11. McCabe BC, Morrison WE, Morgan RW, Himebauch AS. Admission Functional Status is  
36  
37 Associated With Intensivists Perception of Extracorporeal Membrane Oxygenation  
38  
39 Candidacy for Pediatric Acute Respiratory Failure. Pediatr Crit Care Med. 2024  
40  
41 Apr;25(4):354.  
42  
43  
44
- 45  
46 12. Rubin J, Witkin AS, Crowley JC, Michel E, Furfaro DM, Teijeiro-Paradis R, et al.  
47  
48 Venovenous Extracorporeal Membrane Oxygenation Candidacy Decision-Making: Lessons  
49  
50 and Hypotheses From a Single-Center Observational Analysis. Chest. 2024 Sep  
51  
52 1;166(3):491–501.  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65



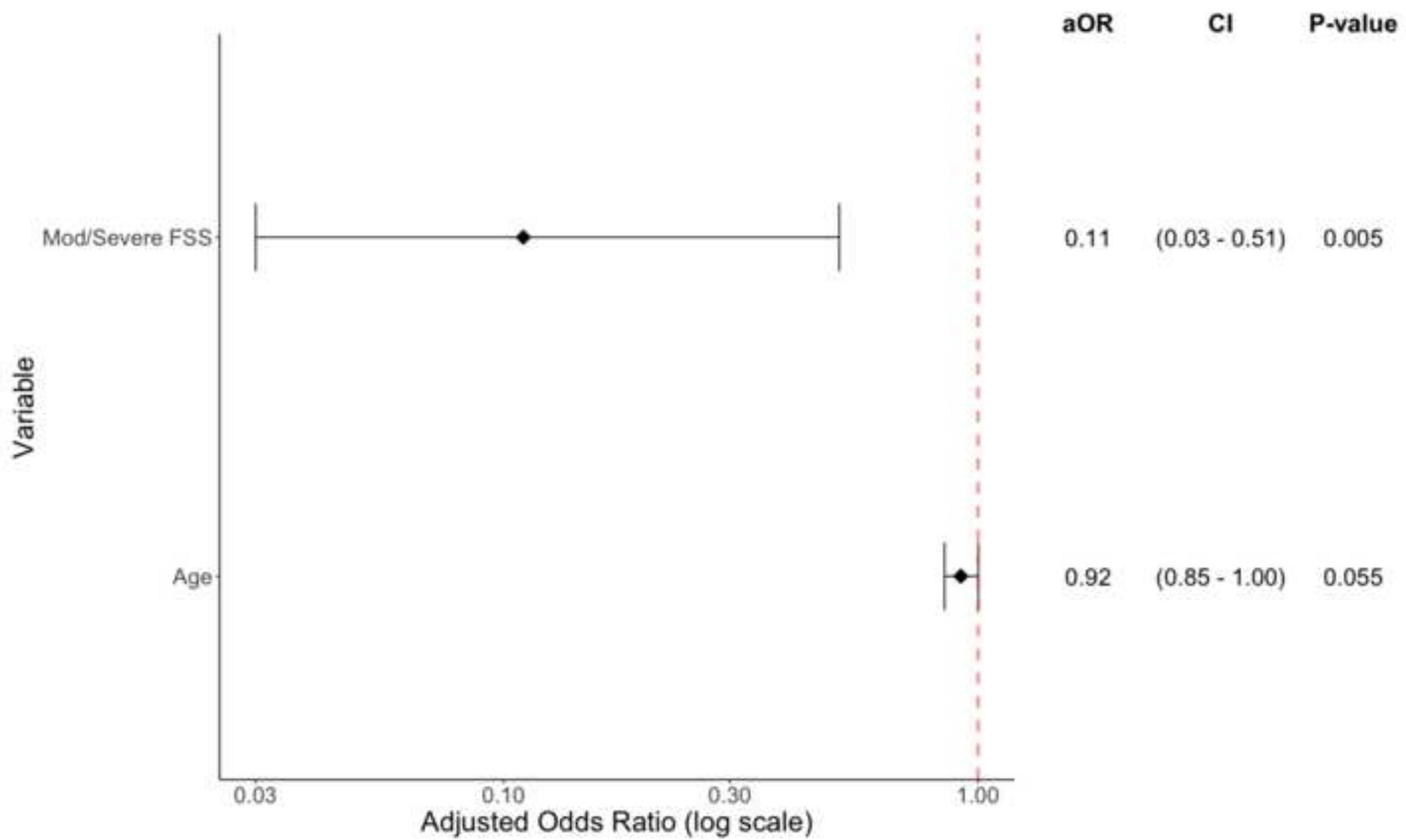
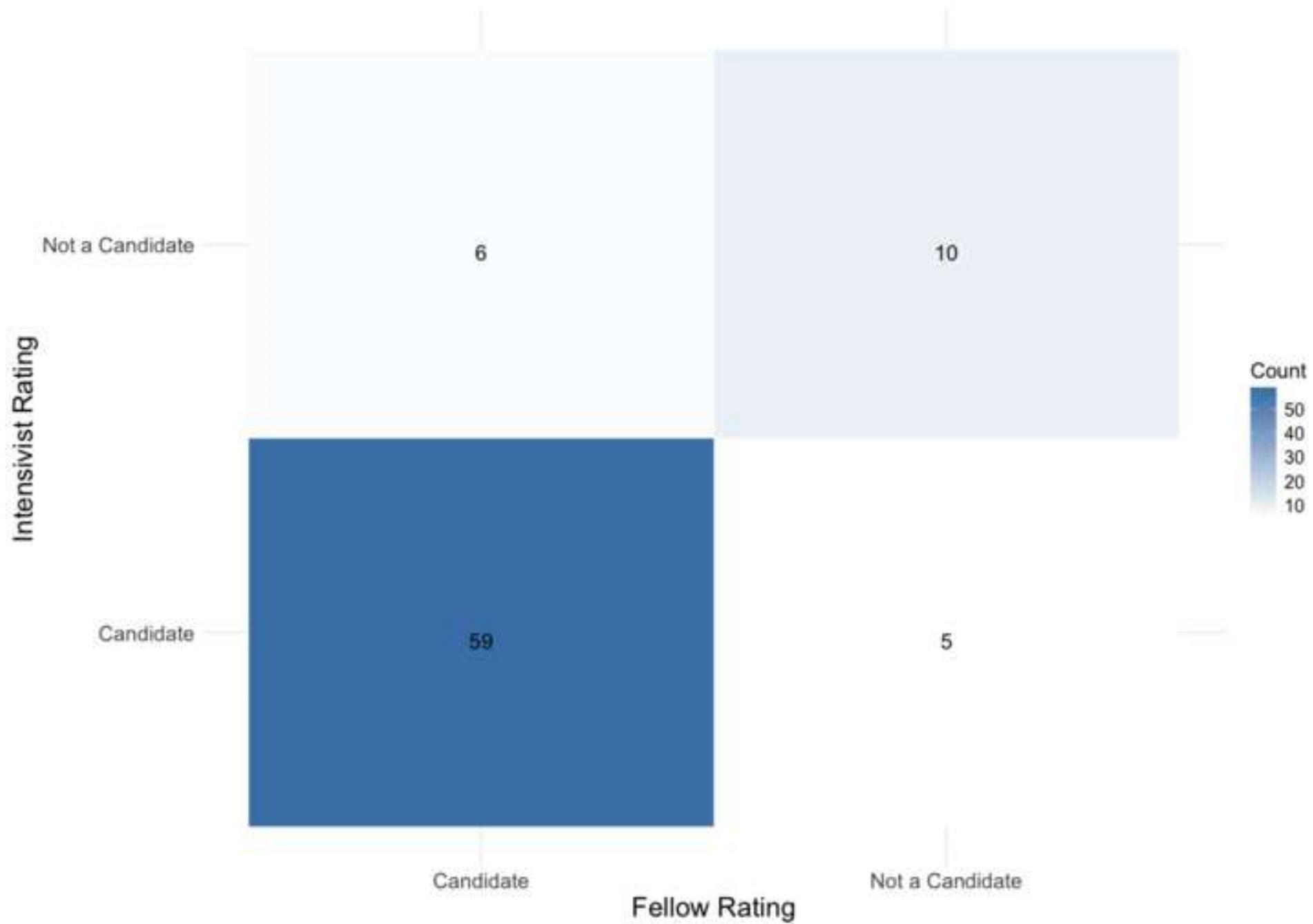
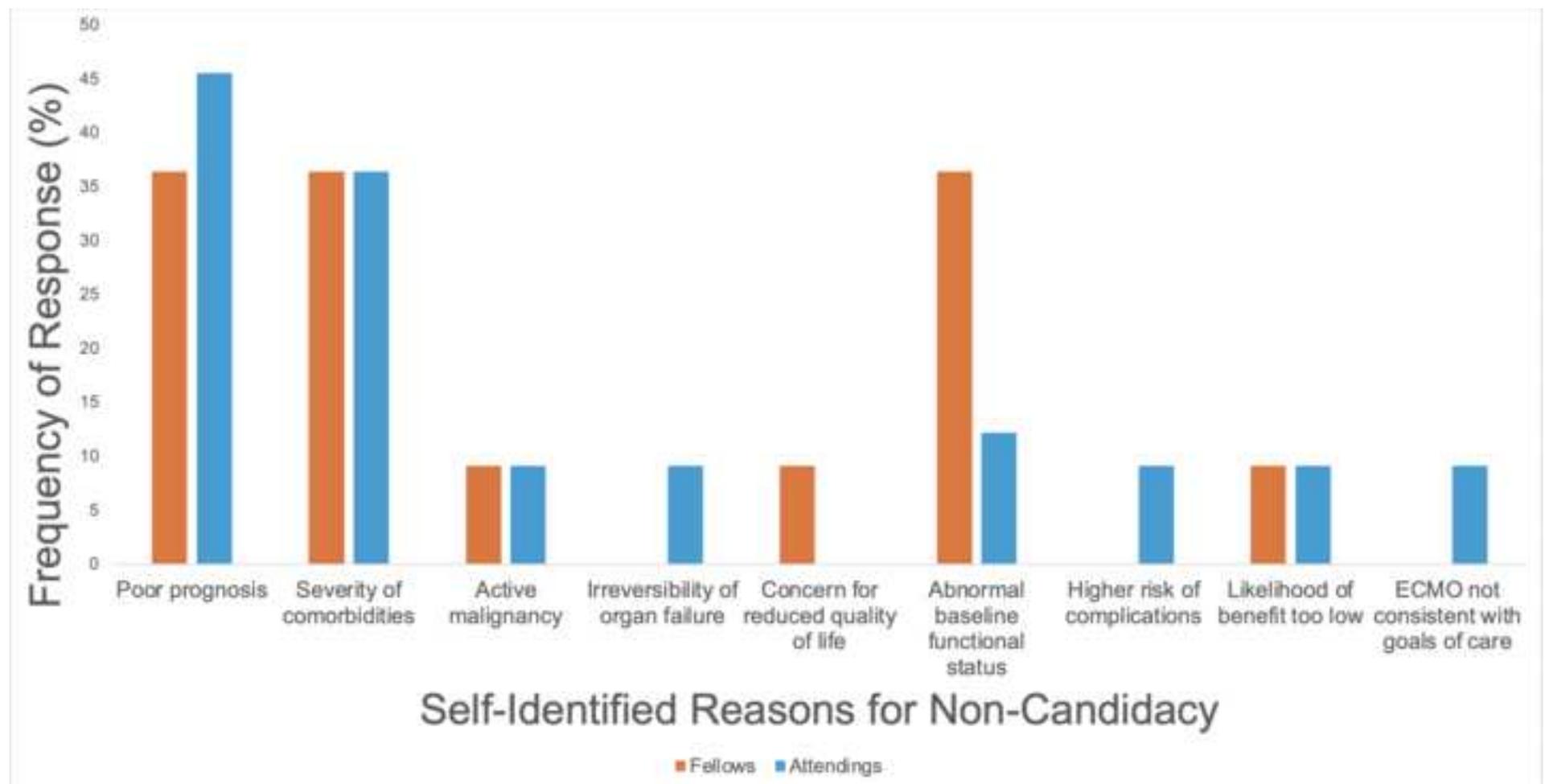


Figure 3





**Table 1:** Patient characteristics of all pediatric critical care fellow physician responses (N = 80)

<b>Variable</b>	<b>Value</b>
Age (years), median (IQR)	3.5 (1.1 – 9.0)
Gender, n (%)	
Male <sup>a</sup>	48 (60%)
Race, n (%)	
American Indian or Alaska Native	1 (1.3%)
Asian	3 (3.8%)
Black or African American	16 (20.0%)
Other/Mixed	14 (18%)
Unspecified	4 (5.0%)
White	42 (53%)
ECMO Candidacy, n (%)	
Candidates	65 (81%)
Non-Candidates	15 (19%)
Functional Status Score	
All patients, median (IQR)	7 (6-12.3)
Normal or mild dysfunction (FSS 6-12), n (%)	60 (75%)
Moderate or Severe dysfunction (FSS 13-30), n (%)	20 (25%)
Co-morbidities, n (%)	
Previously Healthy	12 (15%)
Chronic Respiratory Failure	25 (31%)
Developmental delay or disorder	25 (31%)

Epilepsy or history of seizure	18 (23%)
Cardiac disease or dysfunction	17 (21%)
Endocrinopathy	16 (20%)
Genetic syndrome	16 (20%)
Prematurity	16 (20%)
Chronic Lung Disease or BPD	14 (18%)
Pulmonary Hypertension	14 (18%)
Severity of Illness Measures, median (IQR)	
PIM 3 score	-3.870 (-4.293 to -3.250)
PIM 3 Risk of Mortality (%)	2.0 (1.4 – 3.7)
PRISM 3 score	6.5 (2.0 – 12.0)
Previous PICU Admissions, n (%)	18 (23%)

**Supplemental Table 1:** Characteristics of pediatric critical care fellow and attending physician study participants.

Variable	Value
Physician Participants, n	
Fellow	21
Attending	31
Pediatric Critical Care Experience Level (years), median	
Fellow	2
Attending	5 – 10
Pediatric Critical Care Experience Levels for Fellows, n (%)	
0 to < 1 year	9 (43%)
1 to < 2 years	6 (29%)
2 to < 3 years	6 (29%)
Pediatric Critical Care Experience Levels for Attendings, n (%)	
Less than 3 years	11 (36%)
3 to < 5 years	2 (6.5%)
5 to < 10 years	10 (33%)
10 to < 15 years	6 (18%)
More than 15 years	2 (6.5%)
Average number of Responses per Clinician, n	
Fellow	3.8
Attending	2.6

**Supplemental Table 2: Patient demographics and characteristics comparison of non-ECMO and ECMO candidates as assessed by pediatric critical care fellow physicians.**

Variable	Total (N=80)	Non-ECMO Candidates (N=15)	ECMO-candidates (N=65)	p-value
Age (years), median (IQR)	3.5 (1.1-9.0)	7 (4.5-14.0)	2.9 (0.9-8.0)	0.008
Sex, n (%)				0.14
Male	48 (60%)	12 (80%)	36 (55%)	
Female	32 (40%)	3 (20%)	29 (45%)	
Race, n (%)				0.62
American Indian or Alaska Native	1 (1.3%)	0 (0%)	1 (2%)	
Asian	3 (3.8%)	1 (7%)	2 (3%)	
Black or African American	16 (20%)	5 (33%)	11 (17%)	
Other/Mixed	14 (17.5%)	2 (13%)	12 (18%)	
Unspecified	4 (5%)	0 (0%)	4 (7%)	
White	42 (52.5%)	7 (47%)	35 (54%)	
Admission FSS Score, median (IQR)	7 (6-12.3)	16 (11-21)	6 (6-23)	0.002
Admission FSS Category, n (%)				0.003
Normal to Mild Dysfunction	55 (68.7%)	5 (33.3%)	50 (76.9%)	
Moderate Dysfunction	25 (31.3%)	10 (66.7%)	15 (23.1%)	
Comorbidities, n (%)				
Previously Healthy	12 (15%)	1 (7%)	11 (17%)	
Prematurity	16 (20%)	3 (20%)	13 (20%)	
Pulmonary Hypertension	14 (17.5%)	2 (13%)	12 (18%)	
Chronic Lung Disease	14 (17.5%)	3 (20%)	11 (17%)	
Hematologic Disease, non-oncologic	4 (5%)	3 (20%)	1 (2%)	
Oncologic diagnosis, solid	9 (11.3%)	3 (20%)	6 (9%)	
Oncologic diagnosis, liquid	4 (5%)	0 (0%)	4 (6%)	
SCT or BMT transplant recipient	1 (1.3%)	0 (0%)	1 (2%)	
Solid organ transplant recipient	0 (0%)	0 (0%)	0 (0%)	
Kidney disease (acute or chronic)	12 (15%)	5 (33%)	7 (10.8%)	
Epilepsy or history of seizure	18 (22.5%)	6 (40%)	12 (18%)	
Cerebral palsy	6 (7.5%)	1 (7%)	5 (8%)	
Developmental delay or disorder	25 (31.3%)	7 (47%)	18 (27.8%)	
HIE	4 (5%)	2 (13%)	2 (3%)	
Neuromuscular disorder	6 (7.5%)	1 (7%)	5 (8%)	
Thoracic insufficiency syndrome or neuromuscular scoliosis	4 (5%)	0 (0%)	4 (6%)	
Genetic syndrome	16 (20%)	2 (13%)	14 (22%)	
Chronic static encephalopathy	2 (2.5%)	0 (0%)	2 (3%)	
Hydrocephalus	8 (10%)	3 (20%)	5 (8%)	
Endocrinopathy	16 (20%)	9 (60%)	7 (11%)	
Chronic Respiratory Failure	25 (31.3%)	8 (53%)	17 (26%)	
Asthma or reactive airway disease	10 (12.5%)	3 (20%)	7 (11%)	
Cystic Fibrosis	1 (1.3%)	0 (0%)	1 (2%)	
History of ECMO	1 (1.3%)	0 (0%)	1 (2%)	
History of cardiac arrest	3 (3.8%)	2 (13%)	1 (2%)	
Cardiac disease or dysfunction	17 (21.3%)	4 (27%)	13 (20%)	
Chronic feeding intolerance or intestinal failure	6 (7.5%)	2 (13%)	4 (6%)	

**Supplemental Table 3:** Univariable logistic regressions for the outcome of ECMO candidacy.

<b>Variable</b>	<b>OR (95% CI)</b>	<b>p-value</b>
Age	0.89 (0.82- 0.96)	0.003
Gender		
Male	Ref	
Female	3.22 (0.82- 12.73)	0.090
Race		
White	Ref	
Black or African American	0.44 (0.11- 1.77)	0.200
All Other	1.26 (0.32- 5.00)	0.700
PIM3 Score	0.61 (0.41- 0.91)	0.020
Functional Status Category		
Normal or mild dysfunction (FSS 6-12)	Ref	
Moderate or severe dysfunction (FSS >13)	0.11 (0.03 - 0.51)	0.001
Comorbidity		
Healthy	2.85 (0.31 - 26.39)	0.356
Chronic Respiratory Failure	0.31 (0.15 - 0.66)	0.003
Developmental delay or disorder	0.44 (0.17 - 1.12)	0.085
Epilepsy or history of seizure	0.34 (0.08 - 1.43)	0.140
Cardiac disease or dysfunction	0.69 (0.20 - 2.36)	0.551
Endocrinopathy	0.08 (0.02 - 0.27)	< 0.001
Genetic syndrome	1.78 (0.58 - 5.50)	0.313
Prematurity	1.00 (0.20- 4.97)	1.000
Chronic Lung Disease or BPD	0.81 (0.35 - 2.16)	0.680
Pulmonary Hypertension	1.47 (0.35 - 6.18)	0.598
Kidney disease (acute or chronic)	0.24 (0.07 - 0.86)	0.029
Cerebral Palsy	1.17 (0.13 - 11.36)	0.894
Neuromuscular disorder	1.17 (0.13 - 10.53)	0.891
IVH	0.43 (0.07 - 2.53)	0.348
History of cardiac arrest	0.10 (0.01 - 1.26)	0.075

